

## Racing Rules of Sailing

### Appendix D – Rules D1.2 to D3.3

A submission from the Chair of Racing Rules Committee

#### Purpose or Objective

To improve and simplify the wording of RRS D1.2 to D3.3, and correct some minor flaws and ambiguities.

#### Proposal

Amend RRS D1.2 to D3.3 as follows:

#### **D1.2 Protests and Requests for Redress**

- (a) Rule 60.1 is changed to:

A boat may

- (a) protest another boat, but not ~~for an alleged breach of~~ **under** a rule of Part 2 unless she was involved in the incident or the incident involved contact between ~~members~~ **boats** of the other team; or
- (b) request redress, **but not for damage or injury caused by another boat on her team.**
- (b) ~~Rule 61.1(a) is changed so that the~~ **A** boat may remove her red flag after it has been conspicuously displayed. **This changes rule 61.1(a).**
- (c) ~~The boat~~ **To** requesting redress for an incident in the racing area, **a boat** shall **conspicuously** display a red flag at the first reasonable opportunity after the incident. ~~She shall display the red flag until it is acknowledged by the race committee or by an umpire.~~
- (d) The race committee **and** protest committee shall not protest a boat for breaking a rule of Part 2 ~~or rule 31 or 42~~ except
- (1) based on evidence in a report from an umpire after a black and white flag has been displayed; or
- (2) under rule 14 upon receipt of a report from any source alleging damage or injury.
- (e) *Protests* and requests for redress need not be in writing. The protest committee may take evidence in any way it considers appropriate and may communicate its decision orally.
- ~~(f) A boat is not entitled to redress based on damage or injury caused by another boat on her team.~~
- ~~(g)~~ When a supplied boat suffers a breakdown, rule D5 applies.

#### **D1.3 Penalties**

- (a) Rule 44.1 is changed to:
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A boat may take a One-Turn Penalty when she may have broken one or more rules of Part 2, rule 31 or 42, in an incident while *racing*. However, **she or her team may be further penalized under rule D2.3 or D3.3 if the incident caused injury or damage, or despite taking a penalty her team has gained an advantage.** ~~when she may have broken a rule of Part 2 and rule 31 in the same incident she need not take a penalty for breaking rule 31.~~

- (b) When a boat clearly indicates that she will take a penalty under rule 44.1, she shall take that penalty.
- (c) A boat may take a penalty by retiring and informing the race committee or an umpire.
- (d) There shall be no penalty for breaking a rule of Part 2 when the incident is between boats on the same team and there is no contact.

## D2 UMPIRED RACES

### D2.1 When Rule D2 Applies; Restriction of Right to a Hearing

- (a) Rule D2 applies to umpired races. Races to be umpired shall be identified in the notice of race or sailing instructions or by the display of flag J no later than the warning signal.
- (b) **Rule 61.1(a)(2) is deleted. A boat that protests under a rule listed in rule D2.2 or D2.3 for an incident while *racing* shall display a red flag and is not entitled to a hearing.**

### D2.2 Protests by Boats

When a boat protests under a rule of Part 2 or ~~under rule 31 or 42 for an incident in the racing area she is not entitled to a hearing and the following applies:~~

- ~~(a) She shall hail "Protest" and conspicuously display a red flag at the first reasonable opportunity for each.~~
- ~~(a)~~ The Boats shall be given time to respond.
- ~~(b)~~ If no boat takes a penalty or clearly indicates that she will do so, an umpire shall decide whether to penalize any boat.
- ~~(c)~~ If more than one boat breaks a *rule*, an umpire shall decide whether to penalize any boat that did not take a penalty.
- ~~(e) An umpire shall signal a decision in compliance with rule D2.4.~~

### D2.3 ~~Penalties Initiated by an Umpire-~~Initiated Decisions

~~An umpire may penalize a boat without a *protest* by another boat, or report the incident to the protest committee, or both. When a the boat~~

- (a) breaks rule 31 or 42 and does not take a penalty;
  - (b) breaks a rule of Part 2 and makes contact with another boat on her team or ~~with~~ a boat in another race, and no boat takes a penalty;
  - (c) breaks a *rule* and her team gains an advantage despite her, or another boat on her team, taking a penalty;
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- (d) breaks rule 14 and there is damage or injury;
- (e) breaks rule D1.3(b) **or D2.5; or**
- ~~(f) fails to take a penalty signalled by an umpire~~
- ~~(f)~~ (f) commits a breach of sportsmanship;

~~an~~ ~~The umpire shall signal a decision in compliance with rule D2.4~~ **may penalize her, or report the incident to the protest committee, or both. No protest is required.**

#### **D2.4 Signalling Signals by an Umpire Decision**

An umpire shall signal a decision with one long sound and the display of a flag as follows:

- (a) For no penalty, a green and white flag.
- (b) To penalize one or more boats, a red flag. The umpire shall hail or signal to identify each boat penalized.
- (c) To report the incident to the protest committee, a black and white flag.

#### **D2.5 Taking a Penalty is Imposed Signalled by an Umpire**

A boat penalized by an umpire shall take a Two-Turns Penalty. However, when a **boat is penalized** ~~penalty is imposed~~ under rule D2.3 and an umpire hails or signals a number of turns, the boat shall take that number of One-Turn Penalties.

#### **D2.6 Limitations on Other Proceedings**

- (a) A ~~breach of rule D2.5 shall not be grounds for a protest by a boat~~ **may not protest under rule D1.3(b) or D2.5.**
- (b) A decision, action or non-action of an umpire shall not be
  - (1) ~~grounds~~ **the basis** for **a request for redress or appeal by a boat,**
  - ~~(2) subject to an appeal under rule 70; or~~
  - ~~(2)~~ grounds for *abandoning* a race after it has started.
- (c) **However** the protest committee may **call a hearing** ~~decide~~ to consider ~~giving~~ redress when it believes that ~~an official boat, including~~ an umpire boat may have seriously interfered with a ~~competing~~ boat **racing.**

### **D3 SCORING A RACE**

- D3.1** (a) Each boat *finishing* a race and not retiring thereafter shall be scored points equal to her finishing place. All other boats shall be scored points equal to the number of boats entitled to *race*.
  - (b) When a boat is scored OCS, **and does not then either return to start or promptly retire,** 10 points shall be added to her score ~~unless she retired as soon as possible after the starting signal.~~
  - (c) When a boat finishes and has not sailed the course, 6 points shall be added to her score.**
  - ~~(d)~~ (d) When a boat fails to take a penalty imposed by an umpire at or near the finishing line, she shall be scored as retired.
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- ~~(ed)~~ When a boat is scored as retired after *finishing*, each boat with a worse finishing place shall be moved up one place.
- ~~(e)~~ ~~When a protest committee decides that a boat that is a party to a protest hearing has broken a rule and is not exonerated,~~
- ~~(1)~~ ~~if the boat has not taken a penalty, 6 points shall be added to her score;~~
- ~~(2)~~ ~~if the boat's team has gained an advantage despite any penalty taken or imposed, the boat's score may be increased;~~
- ~~(3)~~ ~~when the boat has broken rule 1 or 2, rule 14 when she has caused damage or injury, or a rule when not racing, half or more race wins may be deducted from her team, or no penalty may be imposed. Race wins deducted shall not be awarded to any other team.~~

**D3.2** When all boats on one team have *finished*, retired or failed to *start*, the other team's boats *racing* at that time shall be scored the points they would have received had they *finished*.

**D3.3** **When a protest committee decides that a boat that is a party to a protest hearing has broken a rule and was not exonerated:**

**(a) If the boat has broken**

**(1) rule 1 or 2,**

**(2) rule 14 when she has caused damage or injury, or**

**(3) a rule when not racing,**

**half or more race wins may be deducted from her team, or no penalty may be imposed. Race wins deducted shall not be awarded to any other team.**

**(b) If the boat has broken a rule other than the rules mentioned in D3.3(a) while racing and not taken or received a penalty for that breach, 6 points shall be added to her score.**

**(c) If the boat's team has gained an advantage despite taking or receiving a penalty, the boat's score may be increased.**

**D3.43** The team with the lower total points wins the race. If the totals are equal, the team that does not have first place wins.

## **Clean Version of Proposed Rules D1.2 to D3.4**

### **D1.2 Protests and Requests for Redress**

(a) Rule 60.1 is changed to:

A boat may

(a) protest another boat, but not under a rule of Part 2 unless she was involved in the incident or the incident involved contact between boats of the other team; or

(b) request redress, but not for damage or injury caused by another boat on her team.

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- (b) A boat may remove her red flag after it has been conspicuously displayed. This changes rule 61.1(a).
- (c) To request redress for an incident in the racing area, a boat shall conspicuously display a red flag at the first reasonable opportunity after the incident until it is acknowledged by the race committee or an umpire.
- (d) The race committee and protest committee shall not protest a boat for breaking a rule of Part 2 except
  - (1) based on evidence in a report from an umpire after a black and white flag has been displayed; or
  - (2) under rule 14 upon receipt of a report from any source alleging damage or injury.
- (e) *Protests* and requests for redress need not be in writing. The protest committee may take evidence in any way it considers appropriate and may communicate its decision orally.
- (f) When a supplied boat suffers a breakdown, rule D5 applies.

### **D1.3 Penalties**

- (a) Rule 44.1 is changed to:

A boat may take a One-Turn Penalty when, in an incident while *racing*, she may have broken one or more rules of Part 2, rule 31 and rule 42. However she or her team may be further penalized under rule D2.3 or D3.3 if she caused injury or damage, or despite taking a penalty her team has gained an advantage.
- (b) When a boat clearly indicates that she will take a penalty under rule 44.1, she shall take that penalty.
- (c) A boat may take a penalty by retiring and informing the race committee or an umpire.
- (d) There shall be no penalty for breaking a rule of Part 2 when the incident is between boats on the same team and there is no contact.

## **D2 UMPIRED RACES**

### **D2.1 When Rule D2 Applies; Restriction of Right to a Hearing**

- (a) Rule D2 applies to umpired races. Races to be umpired shall be identified in the notice of race or sailing instructions or by the display of flag J no later than the warning signal.
- (b) Rule 61.1(a)(2) is deleted. A boat that protests under a rule listed in rule D2.2 or D2.3 for an incident while *racing* shall display a red flag and is not entitled to a hearing.

### **D2.2 Protests by Boats**

When a boat protests under a rule of Part 2 or rule 31 or 42:

- (a) Boats shall be given time to respond.
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- (b) If no boat takes a penalty or clearly indicates that she will do so, an umpire shall decide whether to penalize any boat.
- (c) If more than one boat breaks a *rule*, an umpire shall decide whether to penalize any boat that did not take a penalty.

### **D2.3 Umpire-Initiated Decisions**

When a boat

- (a) breaks rule 31 or 42 and does not take a penalty;
- (b) breaks a rule of Part 2 and makes contact with another boat on her team or a boat in another race, and no boat takes a penalty;
- (c) breaks a *rule* and her team gains an advantage despite her, or another boat on her team, taking a penalty;
- (d) breaks rule 14 and there is damage or injury;
- (e) breaks rule D1.3(b) or D2.5; or
- (f) commits a breach of sportsmanship;

an umpire may penalize her, or report the incident to the protest committee, or both. No protest is required.

### **D2.4 Signalling an Umpire Decision**

An umpire shall signal a decision with one long sound and the display of a flag as follows:

- (a) For no penalty, a green and white flag.
- (b) To penalize one or more boats, a red flag. The umpire shall hail or signal to identify each boat penalized.
- (c) To report the incident to the protest committee, a black and white flag.

### **D2.5 Taking a Penalty-Signalled by an Umpire**

A boat penalized by an umpire shall take a Two-Turns Penalty. However, when a boat is penalized under rule D2.3 and an umpire hails or signals a number of turns, the boat shall take that number of One-Turn Penalties.

### **D2.6 Limitations on Other Proceedings**

- (a) A boat may not protest under rule D1.3(b) or D2.5.
- (b) A decision, action or non-action of an umpire shall not be
  - (1) the basis for a request for redress or appeal by a boat,
  - (2) grounds for *abandoning* a race after it has started.
- (c) However the protest committee may call a hearing to consider redress when it believes that an umpire boat may have seriously interfered with a boat *racing*.

## **D3 SCORING A RACE**

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- D3.1**
- (a) Each boat *finishing* a race and not retiring thereafter shall be scored points equal to her finishing place. All other boats shall be scored points equal to the number of boats entitled to *race*.
  - (b) When a boat is OCS and does not then either return to start or promptly retire, 10 points shall be added to her score.
  - (c) When a boat finishes and has not *sailed the course*, 6 points shall be added to her score.
  - (d) When a boat fails to take a penalty imposed by an umpire at or near the finishing line, she shall be scored as retired.
  - (e) When a boat is scored as retired after *finishing*, each boat with a worse finishing place shall be moved up one place.
- D3.2** When all boats on one team have *finished*, retired or failed to *start*, the other team's boats *racing* at that time shall be scored the points they would have received had they *finished*.
- D3.3** When a protest committee decides that a boat that is a *party* to a protest hearing has broken a rule and was not exonerated:
- (a) If the boat has broken
    - (1) rule 1 or 2,
    - (2) rule 14 when she has caused damage or injury, or
    - (3) a *rule* when not *racing*,half or more race wins may be deducted from her team, or no penalty may be imposed. Race wins deducted shall not be awarded to any other team.
  - (b) If the boat has broken some other *rule* while *racing* and not taken or received a penalty for that breach, 6 points shall be added to her score.
  - (c) If the boat's team has gained an advantage despite taking or receiving a penalty, the boat's score may be increased.
- D3.4** The team with the lower total points wins the race. If the totals are equal, the team that does not have first place wins.

#### Current Position

As above

#### Reasons

These changes do not materially change any rules. Their purpose is to improve the current text and lay-out of Appendix D by using shorter and clearer wording, correct minor errors and ambiguities, and when appropriate use phraseology similar to Appendix UF. The remainder of Appendix D was the subject of a similar improvement introduced in 2021.

The specific clarifications and corrections are:

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1. The change to RRS 44.1 is corrected so it no longer deletes the provisions in 44.1(b).
  2. In an umpired race, RRS D2.3 (umpire-initiated decisions) applies whether or not there is a protest, and a boat is not entitled to a hearing for a protest under a rule listed in D2.3.
  3. The contradiction between current RRS D2.6(b)(1) and D2.6(c) is removed.
  4. A complication in scoring NSC boats introduced by the change to RRS A5.1 and A5.2 is removed, and how such boats are scored is made clearer.
  5. The wording of new RRS D3.3 removes an ambiguity within current D3.1(e).
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